



## Important Instructions for Completing This Form

For international clients: [international.schwab.com](http://international.schwab.com) | 1-877-686-1937 (inside the U.S.) +1-415-667-8400 (outside the U.S.)

The form you requested follows this page. **Please complete the form in English.**

### Follow these easy steps to complete your form:

1. Scroll down and type the requested information in the corresponding field.

Name (First) John
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- You can move among the fields by using your mouse or the “Tab” key.
- If you’d like to clear all the fields you’ve completed, click the **CLEAR** button.

2. When you’ve completed the form, click the **PRINT** button.

3. When your form is completed and signed, please submit it using one of the options below:

- **Mail the form to one of the following addresses.**

**Standard U.S. Mail:**

Attn: International Account Solutions  
Charles Schwab & Co., Inc.  
P.O. Box 982601  
El Paso, TX 79998-2601  
USA

**International Mail/Overnight Delivery:**

Attn: International Account Solutions  
Charles Schwab & Co., Inc.  
1945 Northwestern Drive  
El Paso, TX 79912-1108  
USA

- **Fax the form to +1-415-956-3212.**
- **Scan and attach the form to a secure message on [international.schwab.com](http://international.schwab.com).**

If you are returning this form to Schwab using a secure message, follow the steps below:

1. Go to **[international.schwab.com](http://international.schwab.com)** and log in to your account.
2. Click the **Message Center** link (under **Accounts**), and then click the **Compose New Message** link.
3. Upload your form as an attachment by clicking the **Add File** button.
4. When your message is complete, click **Send**.

Be sure to enclose any accompanying materials with your form (such as a check for an initial deposit to open a new account). Should you have any questions or need help, please call **1-877-686-1937** (inside the U.S.) or **+1-415-667-8400** (outside the U.S.).



**Section C** (Complete this section ONLY if your account has a U.S. address.)

I hereby certify my citizenship and residence are as follows:

Name	Citizenship
Address	

**Additional account holder:**

Name	Citizenship
Address	

I request that confirmations and statements be sent to the following U.S. address:

Name	Citizenship
Address	

**Signature(s) and Date(s) Required**

<b>X</b> Account Holder/Authorized Agent Signature	Print Name	Date
<b>X</b> Additional Account Holder/Authorized Agent Signature	Print Name	Date

**Requirements to Be a Non-U.S. Person**

**Type of Account Holder**

Natural Person  
(or Registered Investment Advisor [RIA]  
Discretionary Account for a Natural Person)

Partnership or Corporation  
(or RIA Discretionary Account for  
Partnership or Corporation)

**Satisfies Requirements If:**

Natural person is not a U.S.  
resident.

Partnership or corporation is  
organized or incorporated under  
the laws of any foreign jurisdiction.

**But Does Not Satisfy Requirements If:**

Natural person is a U.S. citizen.

Partnership or corporation is organized or incorporated  
by a U.S. person principally for the purpose of investing in  
securities not registered under the Securities Act of 1933.

**Other Types of Account Holder**

**1. Trust**

Satisfies requirements if:

Either no trustee is a U.S. person or (i) RIA acts as a trustee; (ii) a trustee who is not a U.S. person has sole or shared investment discretion with respect to the trust assets; and (iii) no beneficiary of the trust is a U.S. person (and the settlor is not a U.S. person if the trust is revocable).

**2. Estate**

Satisfies requirements if:

Either no executor or administrator is a U.S. person or (i) RIA is an executor or administrator; (ii) an executor or administrator of the estate who is not a U.S. person has sole or shared investment discretion with respect to the assets of the estate; and (iii) the estate is governed by foreign law.

**3. Employee Benefit Plan**

Satisfies requirements if:

The plan is established and administered in accordance with the law, customary practices and documentation of a foreign jurisdiction.

**4. Agency or Branch of a U.S. Person Located Outside the U.S.**

Satisfies requirements if:

(i) The agency or branch operates for valid business reasons; and (ii) the agency or branch is engaged in the business of insurance or banking and subject to substantive insurance or banking regulation in jurisdiction where located.

<b>FOR CHARLES SCHWAB USE ONLY</b>											
<input type="checkbox"/> 158 Offshore Authorization—Section A				<input type="checkbox"/> 159 USD2Z NRA Application—Section B				<input type="checkbox"/> 160 U.S. Address—Foreign Residence—Section C			
Source Code						Branch Office and Account Number					

